

06-04-01

PATENTS **A**

Attorney Docket No. GAL/001 CIP

Applicant(s) : Evan Galen and Andrew Barons

For : OIL AND WATER REPELLENT COMPOSITIONS AND METHODS OF APPLICATION THEREOF

EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EF230787989US
Date of Deposit June 1, 2001

jc355 U.S. PTO
09/872314
06/01/01

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Commissioner for Patents, Washington, D.C. 20231.

Hon. Commissioner
for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER FOR RULE 53(b)
CONTINUING PATENT APPLICATION

Sir:

This is a request for filing a ☒ continuation-in-part,
☐ continuation, ☐ divisional, application of pending prior Application
No. 09/573,234, filed May 18, 2000.

Transmitted herewith for filing are the ☒ specification; ☒ claims;
☒ abstract; ☒ declaration; ☒ power of attorney; for the above-identified
patent application.

The enclosed declaration is:

- ☐ Newly executed (original or copy).
- ☒ Unexecuted.
- ☐ Copy from a prior application (37 C.F.R. § 1.63(d)).
- ☐ A signed statement is attached deleting inventors named in the prior application (37 C.F.R. §§ 1.63(d)(2) and 1.33(b)).
- ☒ The entire disclosure of the prior application, from which a copy of the declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ The prior application, Application No. _____, filed _____, is assigned of record to _____.

Also transmitted herewith are:

☒ 1 sheets of:

☐ Formal drawings.

☒ Informal drawings. Formal drawings will be filed during the pendency of this application.

Express Mail Label
EF230787989US

☐ An assignment of the invention to _____

☐ A check in the amount of \$40.00 to cover the recording fee.

☐ Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

☐ An associate power of attorney.

☐ A certified copy of the priority document, _____ application, No. _____, filed _____.

☒ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27 ☐ is enclosed, ☒ was filed in the prior application and such status is still proper and desired (37 C.F.R. § 1.28(a)). Accordingly, applicants request small entity status under 37 C.F.R. § 1.27.

☒ Nonpublication Request Under 37 C.F.R. § 1.213(a).

The filing fee has been calculated as shown below:

| FOR | NUMBER FILED | | NUMBER EXTRA | | RATE | | FEE |
|---|-----------------|--------|-----------------|---|-------|---|-----------|
| BASIC FEE | | | | | | | \$ 355.00 |
| TOTAL CLAIMS | 41 | - 20 = | 21 | x | \$ 9 | = | \$ 189.00 |
| INDEPENDENT CLAIMS | 7 | - 3 = | 4 | x | \$ 40 | = | \$ 160.00 |
| <input type="checkbox"/> A MULTIPLE DEPENDENT CLAIM | | | | + | \$135 | = | \$ |
| TOTAL | | | | | | | \$ 704.00 |

☒ A check in the amount of \$ 704.00 in payment of the filing fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

Please charge \$ _____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

Kristin M Joslyn
Thomas L. Secret (Reg. No. 30,145)
Attorney for Applicants
Kristin M. Joslyn (Reg. No. 47,692)
Agent for Applicants
Fish & Neave (Customer No. 1473)
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000

PATENTS
Attorney Docket No. GAL/001 CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant(s) : Evan Galen and Andrew Barons
Filed : Concurrently Herewith
For : OIL AND WATER REPELLENT COMPOSITIONS AND
METHODS OF APPLICATION THEREOF

New York, New York
June 1, 2001


Hon. Commissioner for Patents
Washington, D.C. 20231

NONPUBLICATION REQUEST
UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicant(s) hereby request(s) that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Respectfully submitted,


Thomas L. Secrest (Reg. No. 30,145)
Attorney for Applicants
Kristin M. Joslyn (Reg. No. 47,692)
Agent for Applicants
FISH & NEAVE (Customer No. 1473)
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000

Express Mail Label
EF230787989US